#### Introduction to Recombination Physics and Why it is Important for Cosmology and Early-Universe Physics



#### **Jens Chluba**

**Cosmology - The Next Decade** 

ICTS, Bangalore, January 3th - 19th, 2019



The University of Manchester

MANCHE

#### Plan for the Lectures (in theory)

#### Lecture I:

- Introduction to the cosmological recombination problem
- Overview of standard recombination physics
- Relevance to the analysis of CMB data

#### Lecture II:

- Cosmological recombination radiation
- Non-standard recombination models
- Overview of cosmological recombination codes

#### Lecture III / Tutorial:

- Brief walk-through of CosmoRec
- Some examples with Recfast++



#### **Cosmic Microwave Background Anisotropies**



Planck all-sky temperature map CMB has a blackbody spectrum in every direction

• tiny variations of the CMB temperature  $\Delta T/T \sim 10^{-5}$ 

## CMB anisotropies (with SN, LSS, etc...) clearly taught us a lot about the Universe we live in!

- Standard 6 parameter concordance cosmology with values known to percent level precision (+ T<sub>0</sub> from COBE/FIRAS)
- Gaussian-distributed adiabatic fluctuations with nearly scaleinvariant power spectrum tested over a wide range of scales
- cold dark matter ("CDM")
- accelerated expansion today ("Λ")
- Standard BBN scenario  $\rightarrow N_{\text{eff}}$  and  $Y_{\text{p}}$
- Standard ionization history  $\rightarrow N_{\rm e}(z)$



Parameter	TT+lowP 68 % limits	TT+lowP+lensing 68 % limits	TT+lowP+lensing+ext 68 % limits	TT,TE,EE+lowP 68 % limits	TT,TE,EE+lowP+lensing 68 % limits	TT,TE,EE+lowP+lensing+ext 68 % limits
$\Omega_{ m b} h^2 \ldots \ldots \ldots$	$0.02222 \pm 0.00023$	$0.02226 \pm 0.00023$	$0.02227 \pm 0.00020$	$0.02225 \pm 0.00016$	$0.02226 \pm 0.00016$	$0.02230 \pm 0.00014$
$\Omega_{\rm c} h^2$	$0.1197 \pm 0.0022$	$0.1186 \pm 0.0020$	$0.1184 \pm 0.0012$	$0.1198 \pm 0.0015$	$0.1193 \pm 0.0014$	$0.1188 \pm 0.0010$
$100\theta_{\rm MC}$	$1.04085 \pm 0.00047$	$1.04103 \pm 0.00046$	$1.04106 \pm 0.00041$	$1.04077 \pm 0.00032$	$1.04087 \pm 0.00032$	$1.04093 \pm 0.00030$
τ	$0.078 \pm 0.019$	$0.066\pm0.016$	$0.067\pm0.013$	$0.079 \pm 0.017$	$0.063 \pm 0.014$	$0.066 \pm 0.012$
$\ln(10^{10}A_s)$	$3.089 \pm 0.036$	$3.062 \pm 0.029$	$3.064 \pm 0.024$	$3.094 \pm 0.034$	$3.059 \pm 0.025$	$3.064 \pm 0.023$
<i>n</i> <sub>s</sub>	$0.9655 \pm 0.0062$	$0.9677 \pm 0.0060$	$0.9681 \pm 0.0044$	$0.9645 \pm 0.0049$	$0.9653 \pm 0.0048$	$0.9667 \pm 0.0040$

Planck Collaboration, 2015, paper XIII





#### **Sketch of the Cosmic Ionization History**



at redshifts higher than
 ~10<sup>4</sup> Universe
 → fully ionized

- at  $z \ge 10^4$   $\rightarrow N_e/N_H \sim 1.16$ (Helium has 2 electrons and abundance  $\sim 8\%$ )
- Singly-ionized Helium recombination around z~6000
- Neutral Helium recombination around z~2000

 Hydrogen recombination around z~1000

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### CMB Sky $\rightarrow$ Cosmology



Lyman- $\alpha$  forest, weak lensing, ...

Cosmological Time in Years



Cosmological Time in Years



Redshift z

How does cosmological recombination work?

### What is the recombination problem about?



- coupled system describing the interaction of *matter* with the ambient CMB *photon* field
- atoms can be in different excitation states
  - $\implies$  lots of levels to worry about
- recombination process changes Wien tail of CMB and this affects the recombination dynamics
  - $\implies$  radiative transfer problem

Have to follow evolution of:  $N_{\rm e}, T_{\rm e}, N_{\rm p}, N_i \text{ and } \Delta I_{\nu}$ 

electron temperature

Only problem in time!

ber densities

non-thermal photons

#### **Physical Conditions during Recombination**

- Anisotropies negligible for recombination problem
- CMB temperature  $T_{\gamma} \sim 2.725 (1+z) \text{ K} \sim 3000 \text{ K}$
- Baryon number density  $N_{\rm b} \sim 2.5 \times 10^{-7} {\rm cm}^{-3} (1+z)^3 \sim 330 {\rm cm}^{-3}$
- Photon number density N<sub>γ</sub> ~ 410 cm<sup>-3</sup> (1+z)<sup>3</sup> ~ 2×10<sup>9</sup> N<sub>b</sub>
   ⇒ photons in very distant Wien tail of blackbody spectrum can keep
   hydrogen ionized until hv<sub>α</sub> ~ 40 kT<sub>γ</sub> ⇔ T<sub>γ</sub> ~ 0.26 eV (Ly-c 13.6 eV!)
- Collisional processes negligible (completely different in stars!!!)
- Rates dominated by radiative processes (e.g. stimulated emission & stimulated recombination)
- Compton interaction couples electrons very tightly to photons until  $z \sim 200 \Rightarrow T_{\gamma} \sim T_e \sim T_m$





### 3-level Hydrogen Atom and Continuum



#### Routes to the ground state ?

<ul> <li>direct recombination to 1s</li> <li>Emission of photon is followed by immediate re-absorption</li> </ul>	} No
- recombination to 2p followed by Lyman- $\alpha$ emission	
<ul> <li>medium optically thick to Ly-α phot.</li> <li>many resonant scatterings</li> <li>escape very hard (<i>P</i>~10<sup>-9</sup> @ <i>z</i>~1100)</li> </ul>	
<ul> <li>recombination to 2s followed by 2s two-photon decay</li> </ul>	
<ul> <li>2s → 1s ~10<sup>8</sup> times slower than Ly-α</li> <li>2s two-photon decay profile → maximum at v ~ 1/2 v<sub>α</sub></li> </ul>	
- immediate escape	

Zeldovich, Kurt & Sunyaev, 1968, ZhETF, 55, 278 Peebles, 1968, ApJ, 153, 1

 $\Delta N_{\rm e}$  /  $N_{\rm e}$  ~ 10% - 20%

#### These first computations were completed in 1968!



Moscow



losif Shklovsky (radio astronomer)

#### Princeton



**Jim Peebles** 

Zeldovich, Kurt & Sunyaev, 1968, ZhETF, 55, 278 Peebles, 1968, ApJ, 153, 1





Vladimir Kurt (UV astronomer)



Rashid Sunyaev

### Let's do the simple 3-level atom derivation?

#### Multi-level Atom ⇔ Recfast-Code



Seager, Sasselov & Scott, 1999, ApJL, 523, L1 Seager, Sasselov & Scott, 2000, ApJS, 128, 407

## RECFAST reproduces the result of detailed recombination calculation using fudge-functions

Output of  $N_{\rm e}/N_{\rm H}$ 

#### Hydrogen:

- up to 300 levels (shells)
- *n* ≥ 2 → full SE for *l*-sub-states

#### Helium:

- Hel 200-levels (z ~ 1400-1500)
- Hell 100-levels (*z* ~ 6000-6500)
- Helll 1 equation

#### Low Redshifts:

- H chemistry (only at low z)
- cooling of matter (Bremsstrahlung, collisional cooling, line cooling)

 $\Delta N_{\rm e}$  /  $N_{\rm e}$  ~ 1% - 3%

### Getting the job done for Planck

44 GH2

#### Hydrogen recombination

- Two-photon decays from higher levels (Dubrovich & Grachev, 2005, Astr. Lett., 31, 359; Wong & Scott, 2007; JC & Sunyaev, 2007; Hirata, 2008; JC & Sunyaev 2009)
- Induced 2s two-photon decay for hydrogen (JC & Sunyaev, 2006, A&A, 446, 39; Hirata 2008)
- Feedback of the Lyman- $\alpha$  distortion on the 1s-2s two-photon absorption rate (Kholupenko & Ivanchik, 2006, Astr. Lett.; Fendt et al. 2008; Hirata 2008)
- Non-equilibrium effects in the angular momentum sub-states (Rubiño-Martín, JC & Sunyaev, 2006, MNRAS; JC, Rubiño-Martín & Sunyaev, 2007, MNRAS; Grin & Hirata, 2009; JC, Vasil & Dursi, 2010)
- Feedback of Lyman-series photons (Ly[n] → Ly[n-1])
   (JC & Sunyaev, 2007, A&A; Kholupenko et al. 2010; Haimoud, Grin & Hirata, 2010)
- Lyman-α escape problem (*atomic recoil, time-dependence, partial redistribution*) (Dubrovich & Grachev, 2008; JC & Sunyaev, 2008; Forbes & Hirata, 2009; JC & Sunyaev, 2009)
- Collisions and Quadrupole lines (JC, Rubiño-Martín & Sunyaev, 2007; Grin & Hirata, 2009; JC, Vasil & Dursi, 2010; JC, Fung & Switzer, 2011)
- Raman scattering (Hirata 2008; JC & Thomas , 2010; Haimoud & Hirata, 2010)

#### **Helium recombination**

- Similar list of processes as for hydrogen (Switzer & Hirata, 2007a&b; Hirata & Switzer, 2007)
- Spin forbidden 2p-1s triplet-singlet transitions (Dubrovich & Grachev, 2005, Astr. Lett.; Wong & Scott, 2007; Switzer & Hirata, 2007; Kholupenko, Ivanchik&Varshalovich, 2007)
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- Detailed feedback of helium photons (Switzer & Hirata, 2007a; JC & Sunyaev, 2009, MNRAS; JC, Fung & Switzer, 2011)





 $\Delta N_{\rm e}$  /  $N_{\rm e}$  ~ 0.1 %

HFI 100 GHz

# Solving the problem for the *Planck* Collaboration was a common effort!



### **Atomic Physics Challenges**

#### Hydrogen Atom & Hydrogenic Helium

- Rather simple and basically analytic (e.g., Karzas & Latter, 1961)
- Even 2γ rates can be computed precisely (e.g., Goeppert-Mayer, 1931)
- Collisional rates less robust, but effect small (new rates became available!)
- Biggest computational challenge is the number of levels (~ n<sup>2</sup>)

#### **Neutral Helium**

- Lower levels non-hydrogenic (perturbative approach needed)
- Spectrum complicated and data (was) rather sparse (e.g., Drake & Morton, 2007)





#### Grotrian diagram for neutral helium



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#### **Neutral Helium**

- Lower levels non-hydrogenic (perturbative approach needed)
- Spectrum complicated and data (was) rather sparse (e.g., Drake & Morton, 2007)
- Collisional rate estimates pretty rough (important for distortions...)
- Computational challenge because of levels not as demanding if you only want to get the free electron fraction right

(not true for recombination radiation...)





### Stimulated HI 2s $\rightarrow$ 1s decay



2s-1s emission profile

Transition rate in vacuum  $\rightarrow A_{2s1s} \sim 8.22 \text{ sec}^{-1}$ CMB ambient photons field  $\rightarrow A_{2s1s}$  increased by ~1%-2%  $\rightarrow$  HI - recombination faster by  $\Delta N_e/N_e \sim 1.3\%$ 



#### Feedback of Ly- $\alpha$ on the HI 1s $\rightarrow$ 2s transition



- Some Ly-α photon are reabsorbed in the 1s-2s channel
- delays recombination
- net effect on 2s-1s channel  $\Delta N_e/N_e \sim 0.6\%$  around z~1100
- 2s-1s self-feedback  $\Delta N_e/N_e \sim -0.08\%$  around z~1100 (JC & Thomas, 2010)

Kholupenko et al. 2006 Fendt, JC, Rubino-Martin & Wandelt, 2009

### The Lyman-series radiative transfer problem

### **Evolution of the HI Lyman-series distortion**



### Sobolev approximation

(developed in late 50's to model expanding envelopes of stars)





To solve the coupled system of rate-equations

→ need to know mean intensity across the Ly- $\alpha$  (& Ly-n) resonance at different times

- $\rightarrow$  approximate solution using *escape probability*
- $\rightarrow$  Escape == photons stop interacting with Ly- $\alpha$  resonance
  - == photons stop supporting the 2p-level
  - == photons reach the very distant red wing

#### Main assumptions of Sobolev approximation

- populations of level + radiation field quasi-stationary
- every 'scattering' leads to complete redistribution
- emission & absorption profiles have the same shape

**Doppler width** (due to atomic motions)

$$\frac{\Delta\nu_{\rm D}}{\nu} = \sqrt{\frac{2kT}{m_{\rm H}c^2}} \simeq \text{few} \times 10^{-5}$$

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  - populations of level + radiation field quasi-stationary
  - every 'scattering' leads to complete redistribution
  - emission & absorption profiles have the same shape
- Sobolev escape probability & optical depth

$$P_{\rm S} = \frac{1 - e^{-\tau_{\rm S}}}{\tau_{\rm S}} \simeq 10^{-8}$$

$$\tau_{\rm S} = \frac{c \,\sigma_{\rm r} N_{\rm 1s}}{H} \,\frac{\Delta \nu_{\rm D}}{\nu} = \frac{g_{\rm 2p}}{g_{\rm 1s}} \,\frac{A_{\rm 21} \lambda_{\rm 21}^3}{8\pi H} \,N_{\rm 1s}$$

### Escape from resonance in expanding medium





- Initial evolution dominated by broadening (atomic recoil smaller)
- Redshift takes over later (much longer time-scale than scattering and real absorption)
- Only a very small fraction of photons escape from line-center

### Escape from resonance in expanding medium

Injection @ red wing



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### Escape from resonance in expanding medium

Injection @ blue wing



- Initial evolution dominated by broadening (atomic recoil smaller)
- Redshift takes over later (much longer time-scale than scattering and real absorption)
- Only a very small fraction of photons escape from line-center
- Escape from red wing easier (more photons survive)
- Non-vanishing probability to 'survive' even from blue wing

### **Differential Escape Probability**







- Escape depends on physical assumptions (e.g., scattering and absorption)
- Escape probability is a strong function of frequency and redshift
- Escape from Doppler core very similar to escape from blue wing
- Ly-α resonance becomes optically thin only in very distant red wing

### Problems with Sobolev approximation:

#### Complete redistribution ⇔ partial redistribution



#### **Sobolev-approximation:**

- Important variation of the photon distribution at ~1.5 times the ionization energy!
- For 1% accuracy one has to integrate up to ~10<sup>7</sup> Doppler width!
- Complete redistribution bad approximation and very unlikely (P~10<sup>-4</sup>-10<sup>-3</sup>)

#### No redistribution case:

- Much closer to the correct solution (*partial redistribution*)
- Avoids some of the unphysical aspect

### Other Problems with Sobolev approximation

#### Time-dependence of the emission process

- Quasi-stationarity ok close to line center
- Non-stationarity important in the distant wings
- Wings even at ~ 10<sup>4</sup> Doppler width ( $\Delta \nu / \nu \sim 10\%$ ) required for <0.1% precision

#### Asymmetry of emission / absorption profiles

- Standard textbook equations always assume  $v \sim v_0$
- Very *inaccurate* in distant damping wings
- Detailed balance off → blackbody not conserved!
- Formulation that includes profile asymmetries required



Illustration from Switzer & Hirata 2007 (meant for Helium)

### Sobolev approximation is still pretty good (sadly...)



Total escape probability correction

#### Change in ionization history

- In spite of being developed for totally different purpose and issues with the physical formulation....
- Time-dependence largest correction to the Ly-α escape problem
- Total correction  $\Delta N_e/N_e \sim -1.8\%$  @  $z\sim 1150$

#### Two-photon emission process from upper levels



Seaton cascade (1+1 photon)

*No collisions*  $\rightarrow$  two photons (mainly H- $\alpha$  and Ly- $\alpha$ ) are emitted

*Maria-Göppert-Mayer* (1931): description of two-photon emission as *single quantum act* 

→Deviations of the *two-photon line profile* from the Lorentzian in the damping wings

→Changes in the optically thin (i.e., below ~500-5000 Doppler width) parts of the line spectra

 $M = \sum_{n'=2} \langle R_{1s} | r | R_{n'p} \rangle \langle R_{n'p} | r | R_{n\ell} \rangle g_{n,n'}(\nu) \qquad g$ 

$$\eta_{n,n'}(\nu) = \frac{1}{h\nu_{nn'} - h\nu} + \frac{1}{h\nu_{nn'} - h\nu'}$$

#### 3s and 3d two-photon decay spectrum



Direct Escape from optically thin regions:

- → HI -recombination is a bit *slower* due to 2γ-transitions from s-states
- → HI -recombination is a bit *faster* due to 2γ-transitions from d-states

#### 5s two-photon decay spectrum



 $\rightarrow$  matters become more complicated quickly

 $\rightarrow$  splitting of resonance and non-resonant parts simplify the computation greatly

- $\rightarrow$  luckily including these effects up to  $n \sim 4-5$  is enough
- JC & Sunyaev, 2008, A&A, 480

### 2s-1s Raman scattering



C.V. Raman

 collisions weak ⇒ process has to be modeled as single quantum act

Computation similar to

two-photon decay profiles



Hirata 2008 JC & Thomas, 2010

### Effect of Raman scattering and 2y decays

z = 1190**Departure from CMB blackbody** (arbitrary unit)  $\iota 0^2$ reference case w 2y-emission w 2y-emission and Raman-scattering no scattering  $10^{1}$ **2s-1s Raman scattering:**  $2s + \gamma \rightarrow 1s + \gamma'$ 10<sup>0</sup> ⊦ **Decreased Ly-n feedback**  $10^{-1}$ Increased Lyβ feedback ⇒ delay HI recombination  $10^{-2}$ ⇒ result in good agreement with Hirata 2008 Ly-δ Ly-β  $10^{-3}$ 1.24 0.96 1.04 1.08 1.12 1.16 1.2 1.28 1  $\nu\,/\,\nu_{21}$ 



### **Evolution of the HI Lyman-series distortion**



#### Deviations from Statistical Equilibrium in the upper levels

Basis for Recfast computation (Seager et al. 2000)

- *l*-dependence of populations neglected
- Levels in a given shell assumed to be in Statistical Equilibrium (SE)
- Complexity of problem scales like ~ n<sub>max</sub>

$$N_{nl} = \frac{2l+1}{n^2} N_{\text{tot},n}$$

### Processes for the upper levels



#### recombination & photoionization

- *n* small  $\rightarrow$  *l*-dependence not drastic
- high shells  $\rightarrow$  more likely to *l*<<*n*
- large  $n \rightarrow induced$  recombination
- many radiative dipole transitions
  - Lyman-series optically thick
  - $\Delta l = \pm 1$  restriction (electron cascade)
  - large *n* & small  $\Delta n \rightarrow$  *induced* emission
- *l*-changing collisions
  - help to establish full SE within the shell
  - only effective for n > 25-30
- *n*-changing collisions
- Collisional photoionization
- Three-body-recombination

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#### **Refined computation**

(JC, Rubino-Martin & Sunyaev, 2007)

- need to treat angular momentum sub-levels separately!
- include collision to understand how close populations are to SE
- Complexity of problem scales like ~ n<sup>2</sup>max
- But problem very sparse
   (Grin & Hirata, 2010; JC, Vasil & Dursi, 2010)



### Sparsity of the problem and effect of ordering

#### 20 shell Hydrogen + 5 shell Helium model



#### Shell-by-Shell ordering

 $1s, 2s, 2p, 3s, 3p, 3d, \dots$ 

#### Angular momentum ordering

 $1s, 2s, 3s, \dots, ns, 2s, 3p, \dots, np, 3d, 4d, \dots$ 

Grin & Hirata, 2010 JC, Vasil & Dursi, MNRAS, 2010

### Collisions during hydrogen recombination



- effective recombination cross section of the atom matters most at low z
- collisions *increase* recombination rate
- effect on ionization history remains *small*
- uncertainties in collision rates may change this by factors of a few
- updated rates (with large △l) became available and effect remains negligible (noticeable in recombination radiation though...)



### Quadrupole lines during hydrogen recombination



### Getting the job done for Planck

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- Similar list of processes as for hydrogen (Switzer & Hirata, 2007a&b; Hirata & Switzer, 2007)
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- Detailed feedback of helium photons (Switzer & Hirata, 2007a; JC & Sunyaev, 2009, MNRAS; JC, Fung & Switzer, 2011)





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HFI 100 GHz

### Main corrections during Hel Recombination



Delayed neutral helium recombination was indeed one of the *Recfast* results

- Effect of HI absorption already mentioned in Hu et al. 1995 (priv. comm Peebles)
- Spin-forbidden Hel transition estimated in 1977 (Lin et al.)
- Luckily neutral helium recombination is not as crucial for Cl's...

Kholupenko et al, 2007 Switzer & Hirata, 2007

### Evolution of the HeI high frequency distortion

#### CosmoRec v2.0 only!



### So why is all this so important?

#### Cosmological Time in Years



### **Cumulative Changes to the Ionization History**





JC & Thomas, MNRAS, 2010; Shaw & JC, MNRAS, 2011

### Cumulative Change in the CMB Power Spectra





### Importance of recombination for Planck



CITA Graduate Mathematic Applying Instant and Shaw & JC, 2011, and references therein

#### Biases as they would have been for Planck 15



- Biases a little less significant with real *Planck* 2015 data
- absolute biases very similar to earlier estimates
- In particular n<sub>s</sub> would be biased significantly

Planck Collaboration, XIII 2015

### Importance of recombination for inflation constraints



Planck Collaboration, 2015, paper XX

#### Analysis uses refined recombination model (CosmoRec/HyRec)

#### Differences for current recombination codes



#### CMB constraints on N<sub>eff</sub> and Y<sub>p</sub>



Consistent with SBBN and standard value for N<sub>eff</sub>

• Future CMB constraints (Stage-IV CMB) on Yp will reach 1% level

### Importance of recombination for measuring helium



CITA Graduation for the second second

### Summary

- The standard recombination problem has been solved to a level that is sufficient for the analysis of current and future CMB data (<0.1% precision!)</li>
- Many people helped with this problem! (most of them were not in *Planck...*)
- Without the improvements over the original version of Recfast cosmological parameters derived from *Planck* would be *biased* significantly
- In particular the conclusions about inflation models would have been affected
- Cosmological recombination radiation allows us to directly constrain the recombination history (more tomorrow...)